

# Frame based kernel methods for hyperspectral imagery data

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# Acknowledgements and collaborators

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Collaborators:

- John J. Benedetto, University of Maryland, College Park.
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# Outline

- 1 Hyperspectral imagery data
  - Introduction to hyperspectral imagery data
  - Endmembers
- 2 The algorithm
  - Kernel eigenmap methods
  - Frames
- 3 Results
  - The Urban data set
  - Classification results

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# Color image



Red

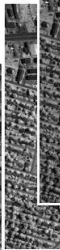


Blue

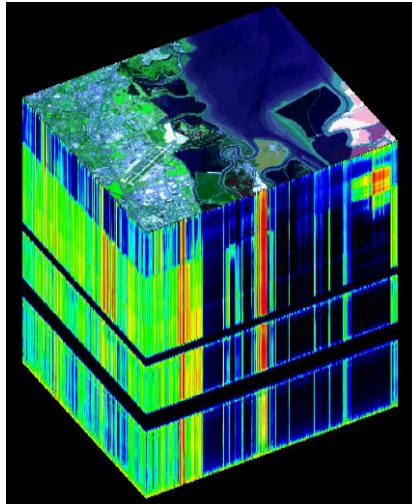


Green

# Hyperspectral imagery data



# Hyperspectral data cube



# Overview of hyperspectral imagery data

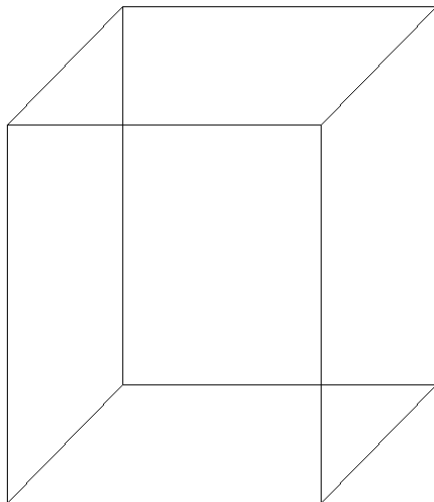
- Hyperspectral imagery (HSI) data is characterized by the narrowness and contiguous nature of the measurements.
- HSI data sets are spectrally overdetermined, and thus provide ample spectral information to distinguish between spectrally similar (but unique) materials.
- HSI data sets can be useful for the following purposes:
  - target detection
  - material classification
  - material identification
  - mapping details of surface properties



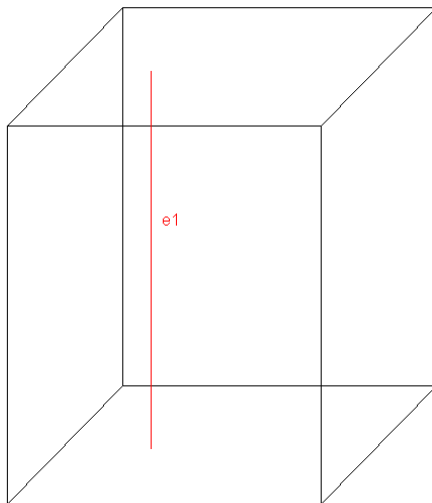
# Overview of hyperspectral imagery data

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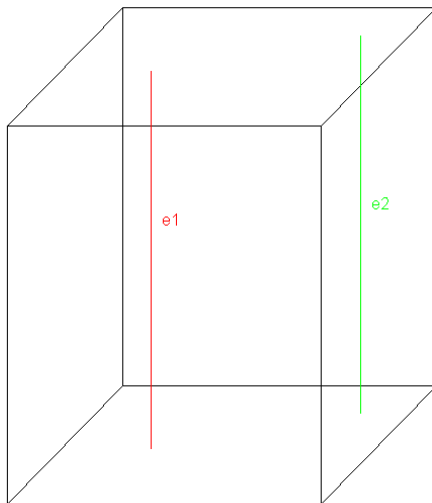
# Endmember illustration



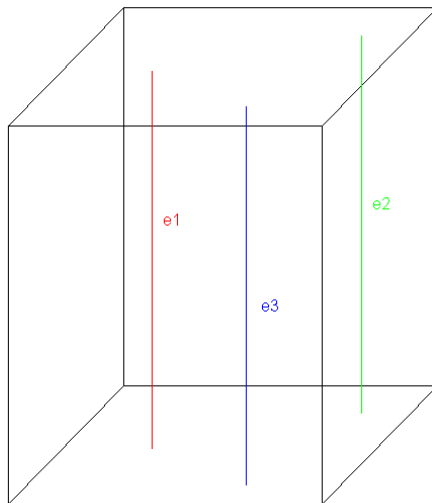
# Endmember illustration



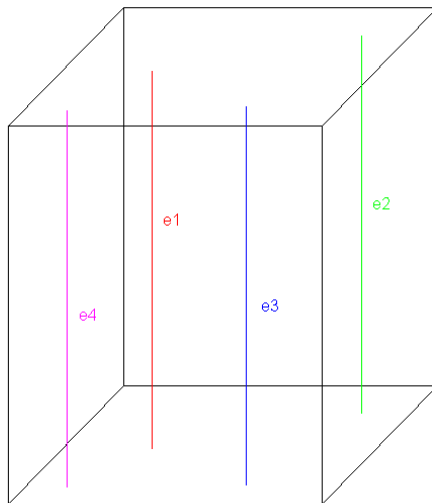
# Endmember illustration



# Endmember illustration



# Endmember illustration



# Endmember definition

- Assume our HSI data set is an  $N_1 \times N_2 \times D$  cube.
  - $N_1, N_2$  spatial dimensions;  $N = N_1 N_2$  pixels.
  - $D$  is the spectral dimension; so  $D$  wavelengths measured.
- Let  $X = \{x_i\}_{i=1}^N \subset \mathbb{R}^D$  denote the pixel vectors of the HSI data set in list form.

## Definition

Endmembers are a collection of a scene's constituent spectra. If  $E = \{e_i\}_{i=1}^S$  are endmembers, then the linear mixture model is

$$x_i = \sum_{j=1}^s \alpha_{i,j} e_j + N_{x_i}, \quad \forall x_i \in X,$$

where  $N_{x_i}$  is a noise vector.

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# Introduction to the algorithm

We have two goals:

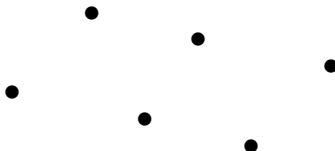
- 1 Map the high dimensional HSI data set  $X$  to an appropriate low dimensional space  $Y$ .
- 2 Represent the low dimensional space  $Y$  for the purposes of material classification.

We achieve these goals via two existing mathematical theories:

- 1 We use kernel eigenmap methods to determine the space  $Y$ .
- 2 We represent  $Y$  with an overcomplete endmember set  $\Phi$ , also known as a frame.

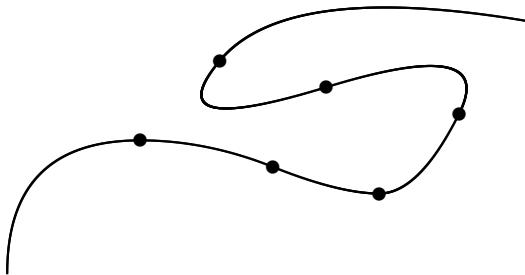
# Introduction to kernel eigenmap methods

- Given a high dimensional data set  $X = \{x_i\}_{i=1}^N \subset \mathbb{R}^D$ , we assume  $X$  lies on a low dimensional manifold  $M^d$  ( $d < D$ ).
- Kernel eigenmap methods map the vectors in  $X$  to  $d$ -dimensional coordinates  $Y = \{y_i\}_{i=1}^N \subset \mathbb{R}^d$  that preserve the underlying geometry of  $M^d$ .



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# Basics of kernel eigenmap methods

The main components of kernel eigenmap methods are:

- Construction of an  $N \times N$  symmetric, positive semi-definite kernel  $K$ ,

$$K_{i,j} = K(x_i, x_j).$$

- Diagonalization of  $K$  and then choosing  $d \leq D$  significant orthogonal eigenvectors of  $K$ , denoted by  $v_1, \dots, v_d$ .
- Map each  $x_i \in X$  to the  $d$ -dimensional vector  $y_i$  given by:

$$y_i = (v_1(i), \dots, v_d(i)).$$

# Frame theory

## Definition

- 1  $\Phi = \{\varphi_i\}_{i=1}^s$  is a frame for  $\mathbb{R}^d$  if there exists  $A, B > 0$  such that

$$A\|y\|^2 \leq \sum_{i=1}^s |\langle y, \varphi_i \rangle|^2 \leq B\|y\|^2, \quad \forall y \in \mathbb{R}^d.$$

- 2 For a frame  $\Phi = \{\varphi_i\}_{i=1}^s$ , the frame operator  $S : \mathbb{R}^d \longrightarrow \mathbb{R}^d$  is

$$S(y) = \sum_{i=1}^s \langle y, \varphi_i \rangle \varphi_i.$$

# Frames and HSI data

- We wish to use a data dependent frame  $\Phi = \{\varphi_i\}_{i=1}^s$  to represent the reduced dimension space  $Y = \{y_i\}_{i=1}^N \subset \mathbb{R}^d$ :

$$y_i = \sum_{j=1}^s c_{i,j} \varphi_j, \quad \forall y_i \in Y.$$

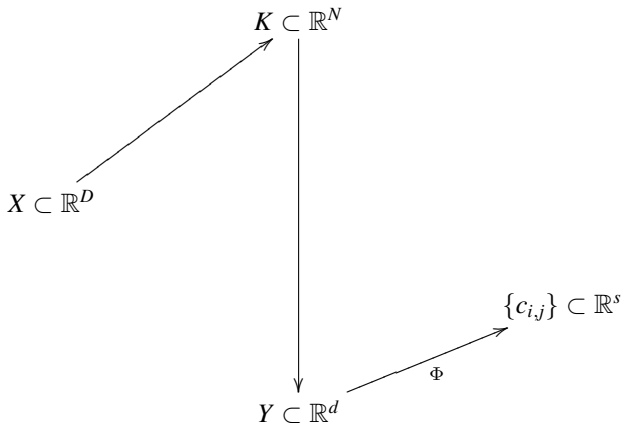
- Possible frame construction algorithms:
  - existing endmember algorithms
  - modified frame potential [Benedetto, Fickus; 2003]
- Possible frame coefficients:
  - $c_{i,j} = \langle y_i, S^{-1}(\varphi_j) \rangle$
  - $c_{i,\cdot} = \arg \min_c \|c\|_{\ell^1}$  subject to  $\Phi c = y_i$

# Why use frames?

## Why use frames?

- Traditional endmembers may be mixtures of many prominent features.
- Overestimating the number of classes allows for flexibility in representing mixtures and pure elements.
- Empirical evidence suggests that distinct classes are not orthogonal to each other. Unlike the orthogonal eigenvectors of  $K$ , frame elements need not be orthogonal.

# Review of algorithm





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# Urban



Figure: HYDICE Copperas Cove, TX – <http://www.tec.army.mil/Hypercube/>

# Urban classes

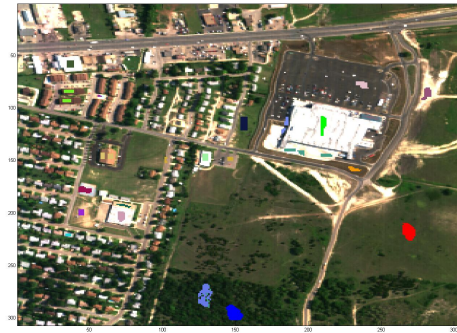
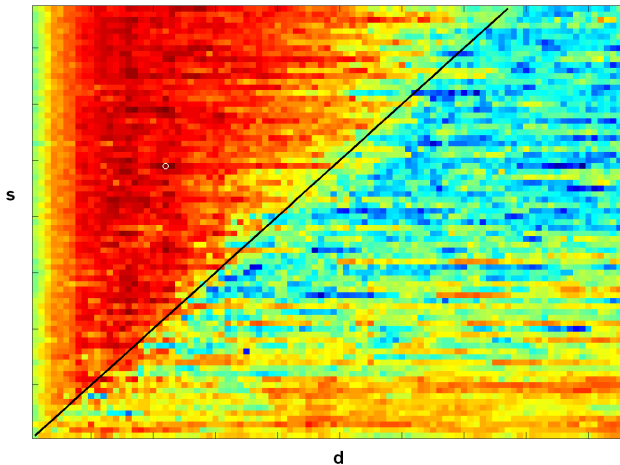


Figure: HYDICE Copperas Cove, TX – <http://www.tec.army.mil/Hypercube/>

# Overview of classification results



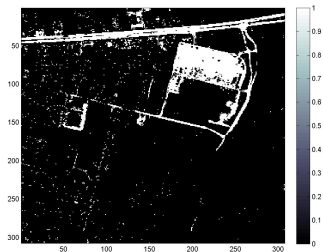
# Sample frame coefficients



# Dark asphalt



(e) Urban



(f) Dark Asphalt

# Light asphalt



(a) Urban

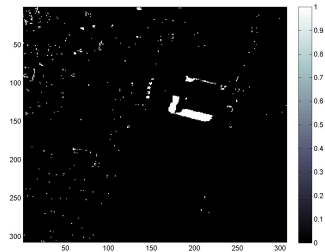


(b) Light asphalt

# Concrete



(a) Urban



(b) Concrete



# Vegetation: pasture



(a) Urban

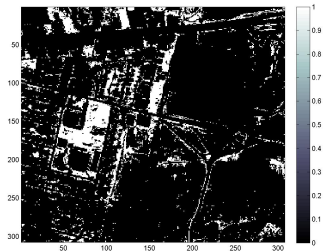


(b) Vegetation: pasture

# Vegetation: grass



(a) Urban

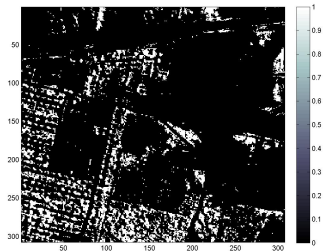


(b) Vegetation: grass

# Vegetation: trees



(a) Urban

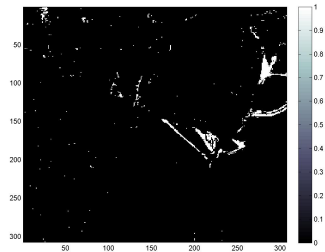


(b) Vegetation: trees

# Soil 1



(a) Urban

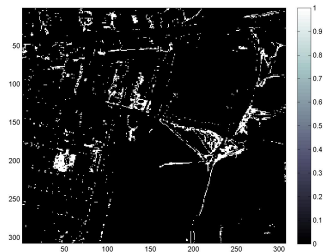


(b) Soil 1

# Soil 2



(a) Urban

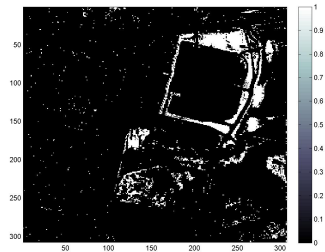


(b) Soil 2

# Soil 3 (dark)



(a) Urban

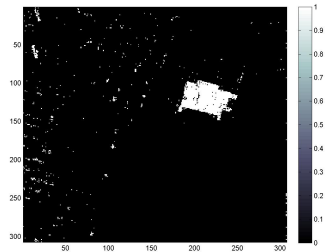


(b) Soil 3 (dark)

# Roof: Walmart



(a) Urban

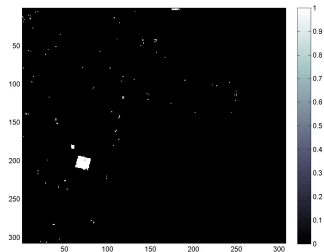


(b) Roof: Walmart

# Roof: A



(a) Urban



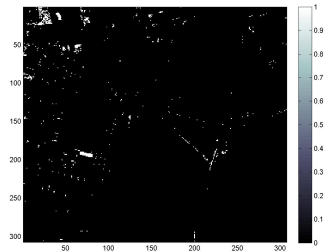
(b) Roof: A



# Roof: B



(a) Urban

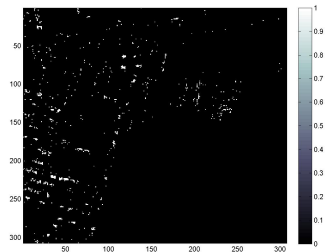


(b) Roof: B

# Roof: light gray



(a) Urban

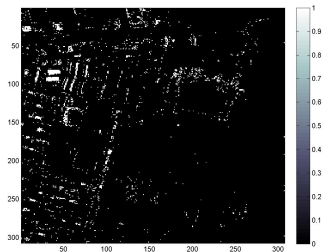


(b) Roof: light gray

# Roof: dark brown



(a) Urban

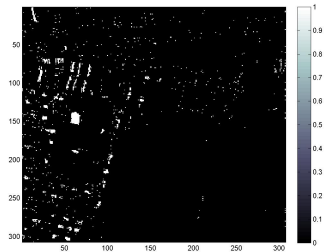


(b) Roof: dark brown

# Roof: church



(a) Urban

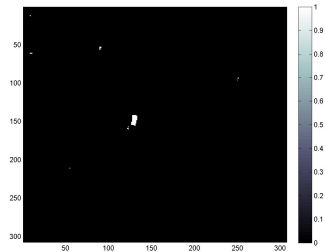


(b) Roof: church

# Roof: school



(a) Urban

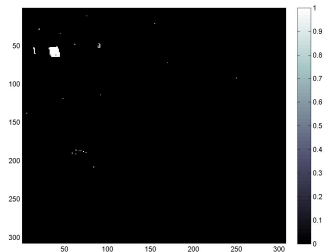


(b) Roof: school

# Roof: bright



(a) Urban

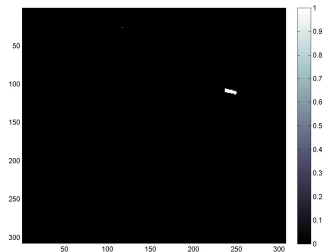


(b) Roof: bright

# Roof: blue green



(a) Urban

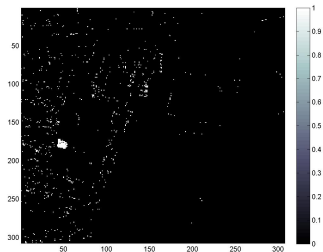


(b) Roof: blue green

# Tennis court



(a) Urban



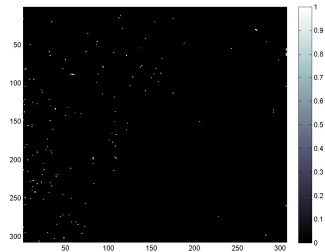
(b) Tennis court



# Pool water



(a) Urban



(b) Pool water

# Shaded vegetation



(a) Urban

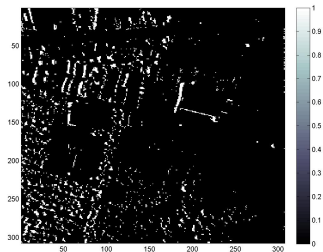


(b) Shaded vegetation

# Shaded pavement



(a) Urban



(b) Shaded pavement

# Thank you

Thank you for your time.

<http://www.math.umd.edu/~hirn/>