

# Frame based kernel methods for hyperspectral imagery data

Matthew Hirn

Norbert Wiener Center  
Department of Mathematics  
University of Maryland, College Park

Recent Advances in Harmonic Analysis  
and Elliptic Partial Differential Equations

University of Virginia

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# Acknowledgements and collaborators

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- PI: John J. Benedetto, University of Maryland, College Park.

Collaborators:

- John J. Benedetto, University of Maryland, College Park.
- Wojciech Czaja, University of Maryland, College Park.
- J. Christopher Flake, University of Maryland, College Park.

# Outline

- 1 Hyperspectral imagery data
  - Introduction to hyperspectral imagery data
  - Endmembers
- 2 The algorithm
  - Kernel eigenmap methods
  - Frames
- 3 Results
  - The Urban data set
  - Classification results

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# Color image



Red

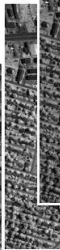


Blue

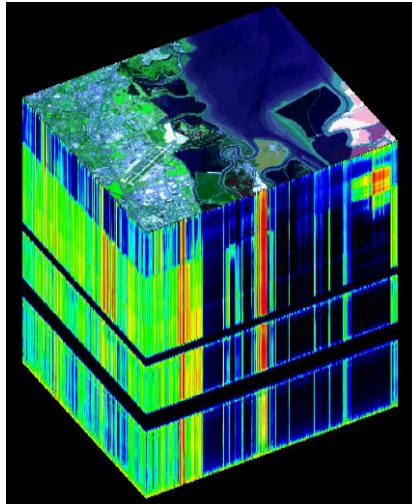


Green

# Hyperspectral imagery data



# Hyperspectral data cube



# Overview of hyperspectral imagery data

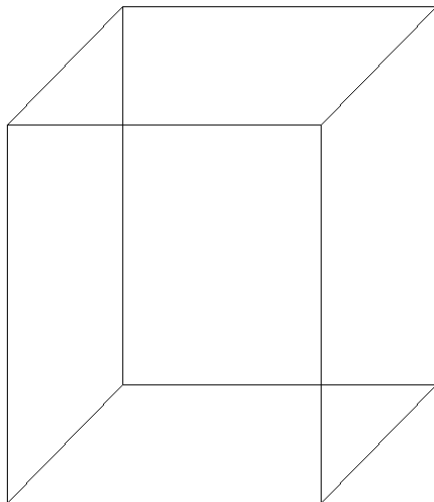
- Hyperspectral imagery (HSI) data is characterized by the narrowness and contiguous nature of the measurements.
- HSI data sets are spectrally overdetermined, and thus provide ample spectral information to distinguish between spectrally similar (but unique) materials.
- HSI data sets can be useful for the following purposes:
  - target detection
  - material classification
  - material identification
  - mapping details of surface properties



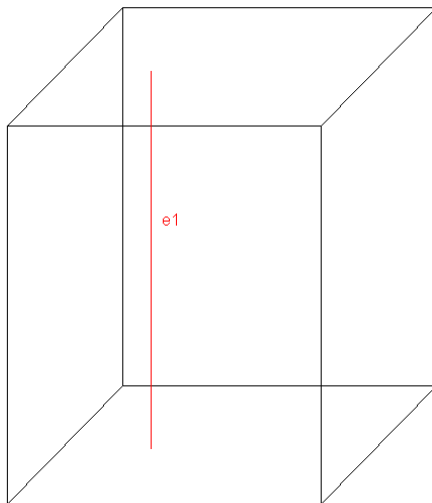
# Overview of hyperspectral imagery data

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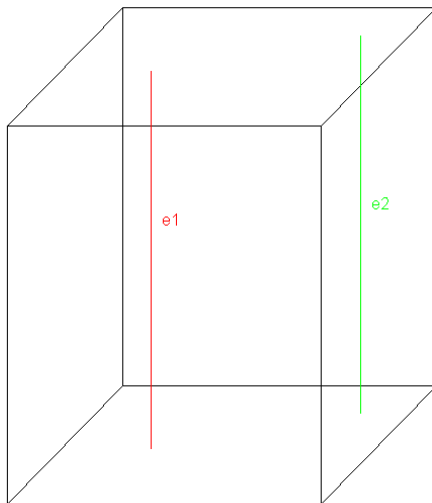
# Endmember illustration



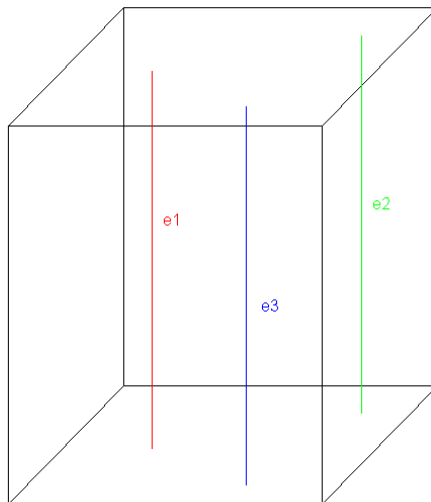
# Endmember illustration



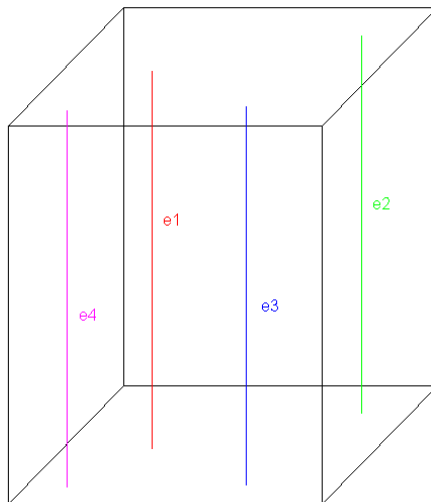
# Endmember illustration



# Endmember illustration



# Endmember illustration



# Endmember definition

- Assume our HSI data set is an  $N_1 \times N_2 \times D$  cube.
  - $N_1, N_2$  spatial dimensions;  $N = N_1 N_2$  pixels.
  - $D$  is the spectral dimension; so  $D$  wavelengths measured.
- Let  $X = \{x_i\}_{i=1}^N \subset \mathbb{R}^D$  denote the pixel vectors of the HSI data set in list form.

## Definition

Endmembers are a collection of a scene's constituent spectra. If  $E = \{e_i\}_{i=1}^s$  are endmembers, then the linear mixture model is

$$x_i = \sum_{j=1}^s \alpha_{i,j} e_j + N_{x_i}, \quad \forall x_i \in X,$$

where  $N_{x_i}$  is a noise vector.

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# Introduction to the algorithm

We have two goals:

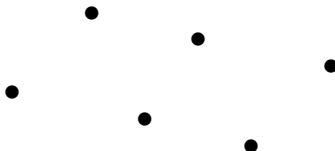
- 1 Map the high dimensional HSI data set  $X$  to an appropriate low dimensional space  $Y$ .
- 2 Represent the low dimensional space  $Y$  for the purposes of material classification.

We achieve these goals via two existing mathematical theories:

- 1 We use kernel eigenmap methods to determine the space  $Y$ .
- 2 We represent  $Y$  with an overcomplete endmember set  $\Phi$ , also known as a frame.

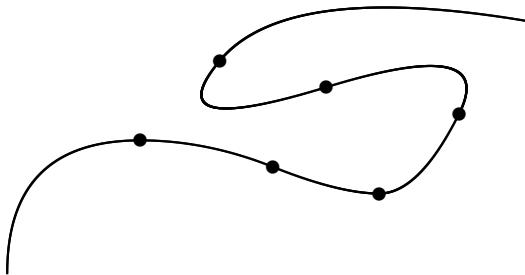
# Introduction to kernel eigenmap methods

- Given a high dimensional data set  $X = \{x_i\}_{i=1}^N \subset \mathbb{R}^D$ , we assume  $X$  lies on a low dimensional manifold  $M^d$  ( $d < D$ ).
- Kernel eigenmap methods map the vectors in  $X$  to  $d$ -dimensional coordinates  $Y = \{y_i\}_{i=1}^N \subset \mathbb{R}^d$  that preserve the underlying geometry of  $M^d$ .



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# Basics of kernel eigenmap methods

The main components of kernel eigenmap methods are:

- Construction of an  $N \times N$  symmetric, positive semi-definite kernel  $K$ ,

$$K_{i,j} = K(x_i, x_j).$$

- Diagonalization of  $K$  and then choosing  $d \leq D$  significant orthogonal eigenvectors of  $K$ , denoted by  $v_1, \dots, v_d$ .
- Map each  $x_i \in X$  to the  $d$ -dimensional vector  $y_i$  given by:

$$y_i = (v_1(i), \dots, v_d(i)).$$

# Frame theory

## Definition

- 1  $\Phi = \{\varphi_i\}_{i=1}^s$  is a frame for  $\mathbb{R}^d$  if there exists  $A, B > 0$  such that

$$A\|y\|^2 \leq \sum_{i=1}^s |\langle y, \varphi_i \rangle|^2 \leq B\|y\|^2, \quad \forall y \in \mathbb{R}^d.$$

- 2 For a frame  $\Phi = \{\varphi_i\}_{i=1}^s$ , the frame operator  $S : \mathbb{R}^d \rightarrow \mathbb{R}^d$  is

$$S(y) = \sum_{i=1}^s \langle y, \varphi_i \rangle \varphi_i.$$

# Frames and HSI data

- We wish to use a data dependent frame  $\Phi = \{\varphi_i\}_{i=1}^s$  to represent the reduced dimension space  $Y = \{y_i\}_{i=1}^N \subset \mathbb{R}^d$ :

$$y_i = \sum_{j=1}^s c_{i,j} \varphi_j, \quad \forall y_i \in Y.$$

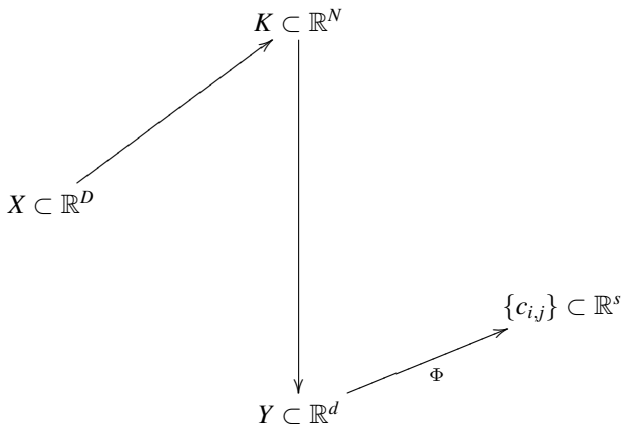
- Possible frame construction algorithms:
  - existing endmember algorithms
  - modified frame potential [Benedetto, Fickus; 2003]
- Possible frame coefficients:
  - $c_{i,j} = \langle y_i, S^{-1}(\varphi_j) \rangle$
  - $c_{i,\cdot} = \arg \min_c \|c\|_{\ell^1}$  subject to  $\Phi c = y_i$

# Why use frames?

## Why use frames?

- Traditional endmembers may be mixtures of many prominent features.
- Overestimating the number of classes allows for flexibility in representing mixtures and pure elements.
- Empirical evidence suggests that distinct classes are not orthogonal to each other. Unlike the orthogonal eigenvectors of  $K$ , frame elements need not be orthogonal.

# Review of algorithm





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# Urban



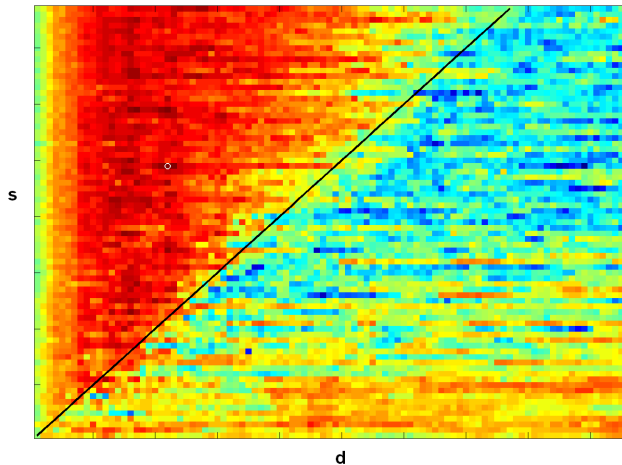
Figure: HYDICE Copperas Cove, TX – <http://www.tec.army.mil/Hypercube/>

# Urban classes

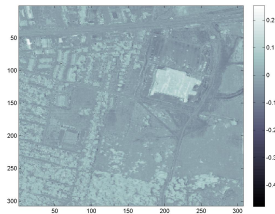
22 classes in the Urban data set, including:

- Dark asphalt
- Vegetation: grass
- Soil 1
- Soil 2
- Soil 3 (dark)
- Roof: Walmart

# Overview of classification results



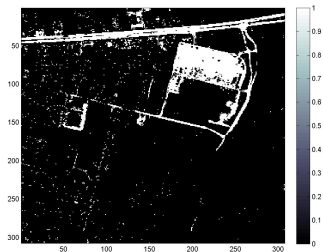
# Sample frame coefficients



# Dark asphalt



(e) Urban



(f) Dark Asphalt

# Light asphalt



(a) Urban

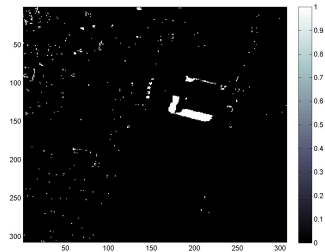


(b) Light asphalt

# Concrete



(a) Urban



(b) Concrete



# Vegetation: pasture



(a) Urban

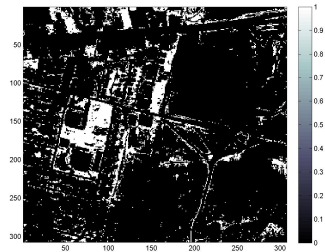


(b) Vegetation: pasture

# Vegetation: grass



(a) Urban

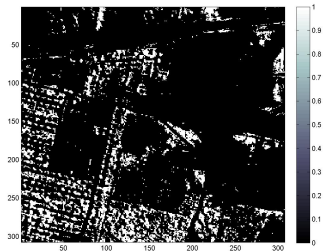


(b) Vegetation: grass

# Vegetation: trees



(a) Urban

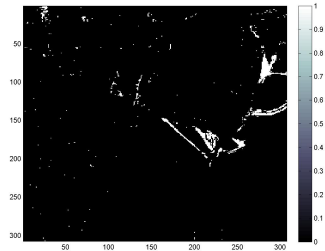


(b) Vegetation: trees

# Soil 1



(a) Urban

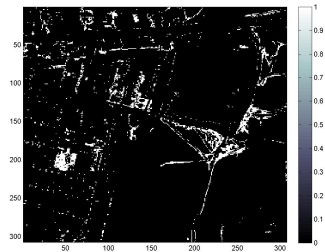


(b) Soil 1

# Soil 2



(a) Urban

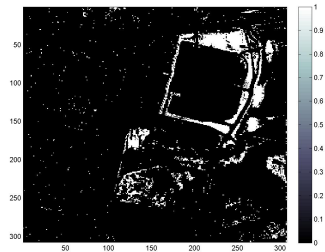


(b) Soil 2

# Soil 3 (dark)



(a) Urban

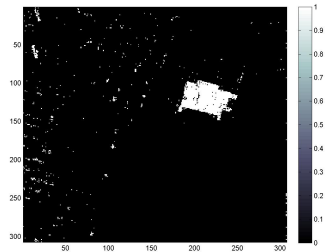


(b) Soil 3 (dark)

# Roof: Walmart



(a) Urban

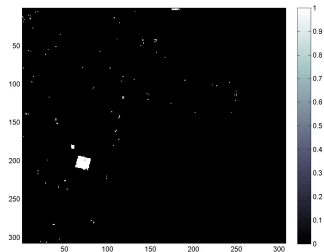


(b) Roof: Walmart

# Roof: A



(a) Urban



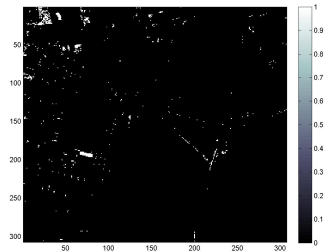
(b) Roof: A



# Roof: B



(a) Urban

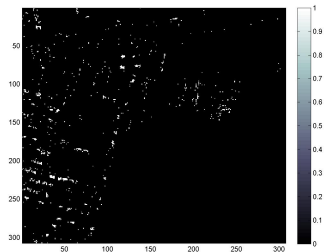


(b) Roof: B

# Roof: light gray



(a) Urban

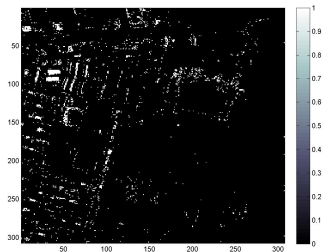


(b) Roof: light gray

# Roof: dark brown



(a) Urban

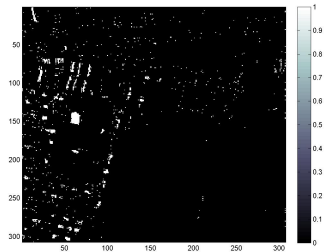


(b) Roof: dark brown

# Roof: church



(a) Urban

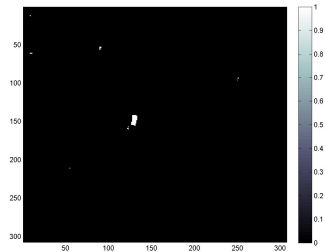


(b) Roof: church

# Roof: school



(a) Urban

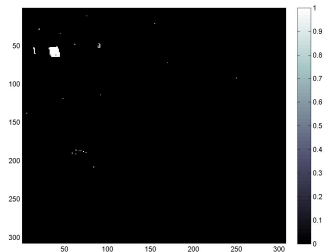


(b) Roof: school

# Roof: bright



(a) Urban

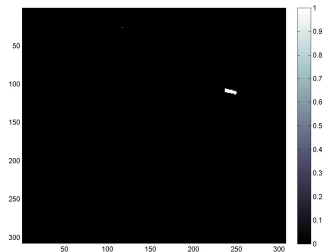


(b) Roof: bright

# Roof: blue green



(a) Urban

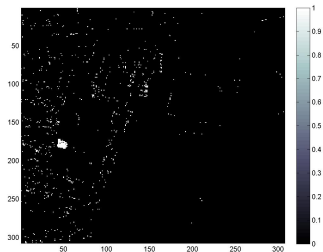


(b) Roof: blue green

# Tennis court



(a) Urban



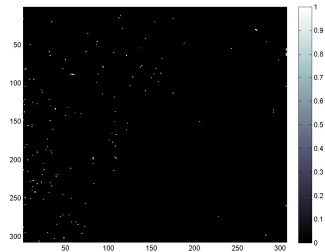
(b) Tennis court



# Pool water



(a) Urban



(b) Pool water

# Shaded vegetation



(a) Urban

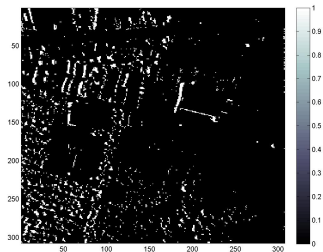


(b) Shaded vegetation

# Shaded pavement



(a) Urban



(b) Shaded pavement

# Thank you

Thank you for your time.

<http://www.math.umd.edu/~hirn/>